FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box if no longer subject to

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1(c). Se	ee Instruction 1	0.																	
1. Name ar		Reporting Person*							ker or T		Symbol ]				k all app	,	ng Pei	( )	
	<del></del>													TTA .	Direct Office	tor er (give title		10% Ov Other (s	
(Last)	(Fii	rst) (f	Middle)		3 Da	te of F	arliaet	Trans	eaction	(Month	/Day/Vear)			1	belov	v) ``		below)	`
` ′	C/O SURROZEN, INC.			3. Date of Earliest Transaction (Month/Day/Year) 01/02/2025								Exec. Vice President, Research							
171 OYSTER POINT BLVD., SUITE 400																			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable								
SOUTH	SAN													Line)  Form filed by One Reporting Person					
FRANCI	$\sim$	Α 9	4080										1.00	Form filed by More than One Repor Person					
(City)	(St	ate) (Z	Zip)																
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date		2. Transacti Date (Month/Day	//Year) Execution		eemed 3. Transact Code (In: h/Day/Year) 8)						l and 5) Securi Benefi Owned		ities For icially (D) d Following (I)		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership			
									Code	v	Amount	(A) or (D)	Price			ed ction(s) 3 and 4)			(Instr. 4)
Common Stock 01			01/02/20	025				M		2,500	A	(1	1) 14		I,188 <sup>(2)</sup> D		D		
Common	Stock	ock 01/03/20.		025			S		843	D	\$17.	37 <sup>(3)</sup>	13,345		3,345 D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date, or Exercise (Month/Day/Year)		ition Date,	Transaction of		ired r osed ) : 3, 4	Expira	e Exercation D		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Der Sed (Ins	Price of ivative curity str. 5)	vative derivative irity Securities	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares						

## **Explanation of Responses:**

- 1. Each restricted stock unit, or RSU, represents a contingent right to receive one share of the Issuer's common stock. This transaction represents the settlement of vested RSUs in shares of Issuer's common stock.
- 2. Includes 400 shares purchased at a price of \$5.67 per share pursuant to the Issuer's 2021 Employee Stock Purchase Plan on December 13, 2024, and 702 shares held through immediate family members of the reporting person
- 3. The shares were sold to cover withholding taxes upon vesting of RSUs in transactions that were initiated by the Issuer on the reporting person's behalf. The price reported reflects the volume-weighted average price for the transactions

## Remarks:

/s/Charles Williams, Attorney-01/06/2025 in-Fact for Yang Li

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.