FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, [	D.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT OF
obligations may continue. See Instruction 1(b).	Filed nursuar

## F CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Li Yang					2. Issuer Name and Ticker or Trading Symbol Surrozen, Inc./DE [ SRZN ]										k all app Direc	licable) tor	ng Person(s) to Is		wner
(Last)	(Fi STER POIN	,	/liddle)		3. Date of Earliest Transaction (Month/Day/Year) 12/15/2023									X	belov	,	siden	below)	Other (specify below) , Research
SUITE 400					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) SOUTH SAN FRANCISCO CA 94080													X	X Form filed by One Reporting Person  Form filed by More than One Reporting  Person					
(City)		tate) (2	<u>Z</u> ip)		Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.											nded to			
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	Benef	iciall	y Own	ed			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)				y/Year) Execut		Deemed ution Date, / th/Day/Year)				es Acquired (A Of (D) (Instr. 3,			Securit Benefic Owned	Amount of ecurities eneficially wned Following eported		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A) (D)	or Pi	ice	Transa	nsaction(s) tr. 3 and 4)			(111341. 4)	
Common Stock 12/15				12/15/2	/2023				A <sup>(1)</sup> 2,085 <sup>(2)</sup>		A	\ \$	5.67	2	2,085		D		
		Tai									osed of, convertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Excurity or Exercise (Month/Day/Year) if		Executi if any			ection Instr.	5. Nu of Deriv Secu Acqu (A) o Dispo of (D' (Instr and 5	rities lired r osed )	6. Date Exel Expiration I (Month/Day		te	7. Title and Amount of Securities Underlying Derivative Security (In: 3 and 4)		De Se (In	Price of erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code			Date Expiration		Title	Numb of Share								

## **Explanation of Responses:**

- 1. This reporting person is voluntarily reporting these shares acquired under the Surrozen, Inc. 2021 Employee Stock Purchase Plan in transactions that were exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- 2. On December 13, 2023, Surrozen, Inc. effected a one-for-fifteen reverse stock split of its outstanding common stock (the "Reverse Stock Split"). All amounts of securities listed herein have been adjusted to reflect the effect of the Reverse Stock Split.

## Remarks:

/s/Charles Williams, Attorney-12/18/2023 in-Fact for Yang Li

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.